

JAN 18 2007

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Likourezos et al. Examiner: Clement B. Graham  
Serial No.: 10/044,075 Group: Art Unit 3692  
Filed: January 11, 2002 Docket: 1002 CIP III  
For: **SYSTEM AND METHOD FOR EFFECTING PAYMENT  
FOR AN ITEM OFFERED FOR AN ELECTRONIC AUCTION SALE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

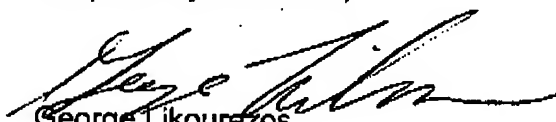
**COMPLETELY INITIALED PTO-1449 FORMS FOR**

**INCLUSION IN THE ELECTRONIC FILE**

Sir:

Please scan and add to the electronic file the attached two PTO-1449 forms which were completely initialed and faxed to the undersigned on January 17, 2007.

Respectfully submitted,

  
George Likourezos  
Reg. No. 40,067  
Applicant & Attorney for Applicants

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Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DCKET NO.  
1071 CIP 01

SERIAL NO.  
10044 073

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)

APPLICANT  
George L. Brownlee et al.

FILING DATE  
January 11, 2002

GROUP ART UNIT  
3628

Sheet 1 of 1

EXAMINER REMARK	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CG	US 2002/007792A1	Published on June 28, 2002	O'Leary et al.			June 21, 2001
CG	US 2002/007963A1	Published on March 14, 2002	Scholar			August 22, 2001
CG	US 2002/0014789A1	Published on Feb. 7, 2002	Barney et al.			July 11, 2001
CG	6,455,917 B1	Oct. 15, 2002	Cord et al.			
CG	6,293,051 B1	March 13, 2001	Woolam			
CG	6,286,651 B1	July 24, 2001	Woolam			
CG	6,075,178	July 4, 2000	Woolam			
CG	5,850,111	Sept. 26, 1999	Hickson et al.			
CG	5,845,265	Dec. 1, 1998	Woolam			

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
WFO 01/1459 A3	Sept. 27, 2001	PCT (WIPO)			YES NO
WFO 01/1459 A2	Sept. 27, 2001	PCT (WIPO)			YES NO

OTHER PRIOR ART (including Articles, TBC, Data, Printed Pages, Etc.)

CG Day and Wells Fargo Leasing Electronic Check to Automate in Credit Card and Traditional Credit Card Online Payments by  
Bajepet Provides First, Economical Internet Payment Service, June 6, 2002, Wells Fargo,  
<http://www.wellsfargo.com/news/020606>, printed on Oct. 10, 2002.

CG Bidder Search: Towards a First-Price Marketplace - Best Practices for the Online Auction Industry, Sells et al, June 2002,  
<http://www.auction.com/resources/industry/first-price>, printed on Oct. 23, 2002.

CG Bidder Search: Towards a First-Price Marketplace - Best Practices for the Online Auction Industry, Sells et al, April 2001.

CG Payment Methods For Consumer-To-Consumer Online Transactions, David S. Sarkis, 25 Akron L. Rev. 1 (2001).

EXAMINER  
C. C. GRAHAM

DATE CONSIDERED  
1/10/07

\* EXAMINER: Initial if information considered, whether or not citation is in non-patent literature (NPL) 409. If not, then (through  
question if not in non-patent literature and not considered. If not, then copy of this form with non-patent literature to applicant.

(Form PTO-1449 (10-01))

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01/17/07 WED 14:48 FAX 703 308 3891

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US PATENT OFFICE

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Sheet 1 of 1

<b>Form PTO-1449</b>  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (See Remarks Sheet if necessary)		ATTY. DOCKET NO.		SERIAL NO.	
		THIS CIV. FILE		NOT YET ASSIGNED	
		APPLICANTS		George L. Broussard et al.	
		FILING DATE		GROUP ART UNIT	
		January 11, 2007		NOT YET ASSIGNED	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	INVENTOR	CLASS	SUBCLASS	FILED DATE IF APPROPRIATE	
CG	6,672,138	Feb. 20, 1999	Chen et al.				

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
CG	WO 01/04816 A1	International Publication Date: January 18, 2001	PCT				
CG	WO 01/28447 A1	International Publication Date: May 10, 2001	PCT				
CG	WO 01/7432 A2	International Publication Date: September 27, 2001	PCT				

OTHER PRIOR ART (Including Author, Title, Date, Page(s), Etc.)

CG		<a href="http://www.paydiant.yahoo.com">http://www.paydiant.yahoo.com</a> web site pages, printed on July 17, 2001, 15 pages.
		PDC Auction user Guide, Version 1.0, copyright 1998, 1999, 2000. PDC Software, Inc., 137 pages.
CG		<a href="http://www.bidding.com">http://www.bidding.com</a> web site pages, printed on July 18, 2001, 8 pages.
		<a href="http://www.auction.com">http://www.auction.com</a> web site pages, printed on July 18, 2001, 3 pages.

EXAMINER	C GRAHAM	DATE CONSIDERED	1/10/07
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\* EXAMINER: Initial if reference considered, whether or not citation is in compliance with MPEP 809. Draw line through citation if not in compliance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 10-01)